### **UNIPHOS ENTERPRISES LIMITED**

**SHAREHOLDING PATTERN AS ON 31.12.2013** 

(I) (a) Statement showing Shareholding Pattern

_	•	of Script:	UNIPHOS	Class of Se	ecurity:	Equity	Quarter E	nded: 31.12.2013	
NSE S	YMBOL : UNIENTER								
Cate-				Number of	As a c	% of total	As	a % of total	
gory	Partly paid up shares			partly paid	numbe	er of partly	numl	per of shares	
Code				up shares	paid up shares		of the Company		
	Held by Promoter/Promoter group			0	0.00			0.00	
	Held by Public			0	(	0.00		0.00	
	Total			0	(	0.00		0.00	
				Number of	As a %	√ of total	As a	a % of total	
	Outstanding Convertible Securitie	es		outstanding	No. of c	utstanding	No. of	shares of the	
	· ·			Securities		Securities	Compa	any, assuming	
								nversion of the	
								Securities	
	Held by Promoter/Promoter group		0	(	0.00		0.00		
	Held by Public		0		0.00		0.00		
	Total			0		0.00		0.00	
	Total				<u>'</u>	7.00		0.00	
		% of total	Δο	a % of total					
				Number of warrants		of warrants		per of shares	
	Warrants			warrants	Harriber	or warrants			
	Wallants						of the Company assuming full		
								sion of warrants	
	Held by Promoter/Promoter group	0	0.00		0.00				
					0.00		0.00		
	Held by Public			0					
	Total		: £II	0		0.00		0.00	
	Total paid up capital of the Compa	-	-						
	conversion of warrants and converti						0.1		
Cate-		Number of	Total No.	Number of		reholding as		es pledged or	
gory	Category of	Share-	of shares	shares held		tage of total		ise encumbered	
Code	Shareholders	holders		in demated		r of shares	Number of	As a	
				form		rcentage of	Shares	%	
					(A+B)1	(A+B+C)			
(1)	(11)	( III )	( IV )	(V)	( VI )	(VII)	(VIII)	(IX )=(VIII)/(IV)*100	
(A)	Shareholding of <b>Promoter</b>								
	and Promoter Group2							T	
(1)	Indian			T					
	Individuals/H.U.F	9	420273		0.60			0.00	
	Cental/State Government(s)	0	0						
<del></del>	Bodies Corporate	1	46022121	46022121	66.22	66.18	0	0.00	
(d)	Financial Institutions/Banks								
(e)	Any Other (specify)								
	Sub-Total (A)(1)	10	46442394	46442394	66.82	66.78	0	0.00	
(2)	Foreign								
(a)	Non Resident Individuals/								
	Foreign Nationals								
(b)	Bodies Corporate								
( c)	Institutions								
	Qualified Foreign Investor								
	Any Other (specify)								
1	Sub_Total (A)(2)								
	Total holding of Promoter and		1011000	4044005	00.00		_		
	Promoter Group <b>(A)=</b> (A)(1)+(A)(2)	10	46442394	46442394	66.82	66.78	0	0.00	
L					·			(contd 2)	

(contd .....2)

DCE O	of the Company : Uniphos Enter CRIP CODE : 500429 Name	•		Class of C		Familia:	Ouestes Fi	Page # 02 nded : 31.12.2013
	YMBOL : UNIENTER	Number of	Total No.	Class of Se				es pledged or
Cate-		Share-	of shares	shares held		reholding as tage of total		es pleaged of se encumbered
	Category of Shareholders		oi snares			J	Number of	
gory Code	Snarenoiders	holders		in demated form		of shares	Shares	As a %
Code				IOIIII	— <u> </u>	centage of	Silales	70
(1)	(    )	( III )	( IV )	(V)	(A+B)1 (VI)	(A+B+C)	(VIII)	(IX )=(VIII)/(IV)*100
	Public Shareholding3	( 111 )	(17)	( V )	( VI )	( VII )	( VIII )	(IX )=(VIII)/(IV) 100
	Institutions							
_ , ,	Mutual Fund/UTI	3	2260	2260	0.00	0.00		
	Financial Institutions/Banks	7	3200	3200	0.00	0.00		
	Central/State Government(s)	0	0	0	0.00	0.00		
	Venture Capital Funds	U	U	U	0.00	0.00		
	Insurance Companies	2	956456	956456	1.38	1.38		
	Foreign Institutional Investors	14	5631307	5629457	8.10	8.10		
	Foreign Venture Cap. Inv	14	3031307	3029437	0.10	0.10		
	Qualified Foreign Investor							
(i)	Any Other (specify)							
(1)	Sub-Total (B)(1)	26	6593223	6591373	9.49	9.48	N . A.	N . A.
(2)	Non Institutions	20	0393223	0391373	3.43	3.40	N . A.	N.A.
	Bodies Corporate	377	1230202	1217748	1.77	1.77		
_ \ /	Individuals	311	1230202	1217740	1.77	1.77		
(6)	i) Holding nominal share capital							
	upto Rs. 1 lakh	13620	6229553	5667145	8.96	8.96		
	ii) Holding nominal share capital	13020	0229333	3007 143	0.30	0.30		
	in excess of Rs. 1 lakh.	9	2623416	2623416	3.77	3.77		
(c)	Qualified Foreign Investor	3	2023410	2023410	5.11	5.11		
. ,	Any Other(specify)							
( u)	Non Resident Individuals	723	6382099	6179027	9.18	9.18		
	Sub-Total (B)(2)	14729	16465270	15687336		23.68	N . A.	N . A.
	Total Public shareholding	17723	10403270	13007330	25.05	25.00	и. д.	N.A.
	$(\mathbf{B})=(\mathbf{B})(1)+(\mathbf{B})(2)$	14755	23058493	22278709	33.18	33.16		
	TOTAL (A)+(B)	14765	69500887	68721103		99.94	N . A.	N . A.
( C)	Shares held by Custodians and	17700	00000001	00721100	100.00	33.3 <del>4</del>	ι . Α.	Ν.Α.
( 0)	against which Depository						N . A.	N . A.
	Receipts have been issued		<u> </u>		l l		ι . Α.	Ν.Α.
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	44962	44162	0.06	0.00	N . A.	N . A.
	GRAND TOTAL (A)+(B)+( C)	14768	69545849	68765265		100.00	N . A.	0.0

#### **UNIPHOS ENTERPRISES LIMITED**

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of s	hares held	Encu	mbered sha	ares (*)	Details of	Warrants	Details of	Convertible	Total shares (including
0	Traine of the charoneses.	201000					Securities		underlying shares		
											assuming full
											conversion of
											warrants and
											convertible securities)
											as a % of diluted
											share capital
		No. of	As a % of	Number	As a	As a % of	No. of	As a %	No. of	As a %	
		shares held	grand total		Percentage	-	warrants	total No. of	Convertible		
			(A)+(B)+(C)			(A)+(B)+(C)	held	warrants	securities	convertible	
						of sub-clause		of the same	held	securities	
						( I ) (a)		class		of the same	
										class	
(1)	( II )	(III)	( IV )	(V)	( VI )	(VII)	(VIII)	( IX )	(X)	( XI )	( XII )
	NERKA CHEMICALS PVT LTD	46022121	66.18	0	0.00	0.00	0	0	0	0	66.18
	SHILPA R SHROFF	178511	0.26								0.26
	SHILPA SHROFF	150612	0.22								0.22
	JYOTSNA J BHATT	45500	0.07								0.07
	JYOTINDRA MANSHANKAR BHATT	40600	0.06								0.06
6	JYOTSNA JYOTINDRA BHATT	2100	0.00								0.00
	VARUN JAIDEV SHROFF	1300	0.00								0.00
8	SHAILA SHASHIKUMAR SHROFF	850	0.00								0.00
9	TANIA JAIDEV SHROFF	500	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	300	0.00								0.00
	Total	46442394	66.78	0	0.00	0.00	0	0	0	0	66.78

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

# (I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of	Shares as a	Details of warra	Details of warrants		e Securities	Total shares (including
		shares	percentage of total	No. of warrants	As a %	No of convertible	% w.r.t	underlying shares
		held	number of shares	held	total	securities held	total No. of	assuming full
			(i.e. Grand Total of		No. of		convertible	conversion of warrants
			(A)+(B)+(C)		warrants of		securities of	and conv. Securities)
			indicated in		same		the same	as a % of diluted
			statement at para		class		class	share capital
			( I ) (a) above}					
1	VINOD MOHAN NAIR	5748108	8.27					8.27
2	ARES DIVERSIFIED	3145000	4.52					4.52
3	EOS MULTI STRATEGY FUND LTD	961653	1.38					1.38
4	LIFE INSURANCE CORPORATION OF INDIA	898756	1.29					1.29
5	ACACIA PARTNERS, LP	733254	1.05					1.05
	TOTAL	11486771	16.52					16.52

# (I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s)	Number of	Shares as a	Details of warrants		Details of Convertible Securities			Total shares	
	and the Persons Acting in Concert	shares	percentage of total	No. of warrar	nts	As a %	No of con	vertible	% w.r.t	(including underlying
	( PAC ) with them		number of shares	held		total	securitie	s held	total No. of	shares assuming full
			(i.e. Grand Total of			No. of			convertible	conversion of
			(A)+(B)+(C)			warrants of			securities of	warrants and
			indicated in			same			the same	convertible securities) as
			statement at para			class			class	a % of diluted share
			( I ) (a) above}							capital
1	VINOD MOHAN NAIR	5748108	8.27							8.27
	TOTAL	5748108	8.27							

#### (I) (d) Statement showing details of locked-in shares

,,,,	<u> </u>			
Sr.No.	Name of the shareholder	Category of	Number of	Locked-in shares as a
		shareholders	locked-in	percentage of total
		Promoters / Public	shares	number of shares
				{i.e.Grand Total of
				(A)+(B)+( C) indicated
				in Statement at para
				(I)(a) above}
	NIL			·

## **UNIPHOS ENTERPRISES LIMITED**

### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCF	RIP CODE: 50042	Quarter ended: 31.12.2013		
NSE SYN	IBOL : UNIEN			
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	GDR	22481	44962	0.06

## (II) (b) Statement showing holding of Depository Receipts (DRs) where

underlying shares held by "promoter/promoter group" are in

excess of 1% of the total number of shares									
BSE SCF	RIP CODE: 50042	Quarter ended: 31.12.2013							
NSE SYN	MBOL : UNIEN								
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above					
		NIL							