

# UNIPHOS ENTERPRISES LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2012

## (I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity		Quarter Ended : 31.12.2012		
NSE SYMBOL : UNIENTER								
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares		As a % of total number of shares of the Company	
	Held by Promoter/Promoter group			0	0.00		0.00	
	Held by Public			0	0.00		0.00	
	Total			0	0.00		0.00	
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities		As a % of total No. of shares of the Company, assuming full conversion of the Securities	
	Held by Promoter/Promoter group			0	0.00		0.00	
	Held by Public			0	0.00		0.00	
	Total			0	0.00		0.00	
	Warrants			Number of warrants	As a % of total number of warrants		As a % of total number of shares of the Company assuming full conversion of warrants	
	Held by Promoter/Promoter group			0	0.00		0.00	
	Held by Public			0	0.00		0.00	
	Total			0	0.00		0.00	
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1 (A+B+C)		Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )		
(A)	Shareholding of Promoter and Promoter Group2							
(1)	Indian							
(a)	Individuals/H.U.F	9	419523	419523	1.65	1.65	0	0.00
(b)	Cental/State Government(s)	0	0	0	0.00	0.00	0	0.00
( c )	Bodies Corporate	1	13669179	13669179	53.75	53.66	0	0.00
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	10	14088702	14088702	55.40	55.30	0	0.00
(2)	Foreign							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
( c )	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_ Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	10	14088702	14088702	55.40	55.30	0	0.00

(contd .....2)

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity			Quarter Ended : 31.12.2012	
NSE SYMBOL : UNIENTER		Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
Category Code	Category of Shareholders				As a percentage of		Number of Shares	As a %
					(A+B)1	(A+B+C)		
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
(B)	Public Shareholding3							
(1)	Institutions							
(a)	Mutual Fund/UTI	3	2260	2260	0.01	0.01		
(b)	Financial Institutions/Banks	6	1200	1200	0.00	0.00		
( c )	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	2	956456	956456	3.76	3.75		
( f )	Foreign Institutional Investors	13	1708899	1707049	6.72	6.71		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
	Sub-Total (B)(1)	24	2668815	2666965	10.49	10.48	N . A.	N . A.
(2)	Non Institutions							
(a)	Bodies Corporate	414	1113665	1101211	4.38	4.37		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	13960	5252311	4722504	20.65	20.62		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	5	488721	488721	1.92	1.92		
(c)	Qualified Foreign Investor							
( d )	Any Other(specify)							
	Non Resident Individuals	746	1817494	1606216	7.15	7.13		
	Sub-Total (B)(2)	15125	8672191	7918652	34.10	34.04	N . A.	N . A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	15149	11341006	10585617	44.60	44.52		
	TOTAL (A)+(B)	15159	25429708	24674319	100.00	99.82	N . A.	N . A.
( C )	Shares held by Custodians and against which Depository Receipts have been issued							
							N . A.	N . A.
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	44962	44162	0.18	0.18	N . A.	N . A.
	GRAND TOTAL (A)+(B)+( C )	15162	25474670	24718481		100.00	0	0.00

## UNIPHOS ENTERPRISES LIMITED

**(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category**

**"Promoter and Promoter Group"**

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+( C)	Number	As a Percentage	As a % of grand total (A)+(B)+( C) of sub-clause ( I ) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )	( X )	( XI )	( XII )
1	NERKA CHEMICALS PVT LTD	13669179	53.66	0	0.00	0.00	0	0	0	0	53.66
2	SHILPA R SHROFF	178511	0.70								0.70
3	SHILPA SHROFF	150612	0.59								0.59
4	JYOTSNA J BHATT	45500	0.18								0.18
5	JYOTINDRA MANSHANKAR BHATT	40600	0.16								0.16
6	JYOTSNA JYOTINDRA BHATT	2100	0.01								0.01
7	VARUN JAIDEV SHROFF	1300	0.01								0.01
8	TANIA JAIDEV SHROFF	500	0.00								0.00
9	SHAILA SHASHIKUMAR SHROFF	300	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	100	0.00								0.00
	<b>Total</b>	<b>14088702</b>	<b>55.30</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>55.30</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

**(I) (c ) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	VINOD MOHAN NAIR	1248108	4.90					4.90
2	ARES DIVERSIFIED	1145000	4.49					4.49
3	LIFE INSURANCE CORPORATION OF INDIA	898756	3.53					3.53
4	ACACIA PARTNERS, LP	268591	1.05					1.05
	<b>TOTAL</b>	<b>3560455</b>	<b>13.98</b>					<b>13.98</b>

**(I) (c ) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares**

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert ( PAC ) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C ) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	<b>NIL</b>							

**(I) (d) Statement showing details of locked-in shares**

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C ) indicated in Statement at para (I)(a) above}
	<b>N I L</b>			

## UNIPHOS ENTERPRISES LIMITED

### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 31.12.2012
NSE SYMBOL : UNIENTER				
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
1	GDR	22481	44962	0.18

### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 31.12.2012
NSE SYMBOL : UNIENTER				
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
		<b>N I L</b>		

**III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.**  
**(Give description of voting rights for each class of security Class X, Class Y, Class Z)**

[illegible]