SHAREHOLDING PATTERN AS ON 30.09.2014

(I) (a) Statement showing Shareholding Pattern

BSE S		of Script :	UNIPHOS	Class of Se	ecurity :	Equity	Quarter E	nded : 30.09.2014		
NSE S	YMBOL : UNIENTER									
Cate-				Number of	As a 9	% of total	As	a % of total		
gory	Partly paid up shares			partly paid	numbe	er of partly	numl	per of shares		
Code				up shares	paid u	ip shares	of th	ne Company		
	Held by Promoter/Promoter group			. 0		0.00		0.00		
	Held by Public			0	(	0.00		0.00		
	Total			0		0.00		0.00		
							<u>I</u>			
		Number of	As a %	6 of total	As a	a % of total				
	Outstanding Convertible Securitie	es		outstanding	No. of o	utstanding	No. of	shares of the		
				Securities	Con. S	Securities	Compa	any, assuming		
							full cor	nversion of the		
								Securities		
	Held by Promoter/Promoter group			0	(	0.00		0.00		
	eld by Public			0		0.00		0.00		
	Total			0		0.00		0.00		
				· · · · · ·						
				Number of	As a c	% of total	As	a % of total		
				warrants		of warrants		er of shares		
	Warrants						of the Company			
								suming full		
								sion of warrants		
	Held by Promoter/Promoter group			0	0.00 0.00 <b>0.00</b>		0.00			
	Held by Public			0			0.00			
	Total			0			0.00			
	Total paid up capital of the Compa	nv. assuming full			`					
	conversion of warrants and converti	-	-							
Cate-		Number of	Total No.	Number of	Total sha	reholding as	Shar	es pledged or		
gory	Category of	Share-	of shares	shares held		tage of total		ise encumbered		
Code	Shareholders	holders		in demated		r of shares	Number of	As a		
				form	As a pe	rcentage of	Shares	%		
					(A+B)1	(A+B+C)				
(1)	(    )	(III)	( IV )	(V)	( VI )	(VII)	(VIII)	(IX )=(VIII)/(IV)*100		
(A)	Shareholding of <b>Promoter</b>	. , ,	. ,	, ,	, ,	. ,	, ,	, , , , ,		
,	and Promoter Group2									
(1)	Indian									
	Individuals/H.U.F	9	420273	420273	0.60	0.60	0	0.00		
(b)	Cental/State Government(s)	0	0	0	0.00	0.00	0	0.00		
( c)	Bodies Corporate	1	46822121	46822121	67.36	67.33	0	0.00		
(d)	Financial Institutions/Banks									
(e)	Any Other (specify)									
	Sub-Total (A)(1)	10	47242394	47242394	67.96	67.93	0	0.00		
(2)	Foreign						•			
(a)	Non Resident Individuals/									
	Foreign Nationals									
(b)	Bodies Corporate									
	Institutions									
	Qualified Foreign Investor									
	Any Other (specify)									
1-7	Sub_Total (A)(2)									
	Total holding of Promoter and	4.0	470 4000 1	450 4000 1	07.00	A= A-	_			
	Promoter Group <b>(A)=</b> (A)(1)+(A)(2)	10	47242394	47242394	67.96	67.93	0	0.00		
							1	(contd 2)		

(contd .....2)

	of the Company : Uniphos Enter	•		Olasa af O		C!4	Outside F	Page # 02
	·		UNIPHOS	Class of Se				nded: 30.09.2014
	YMBOL : UNIENTER	Number of	Total No.	Number of		reholding as		es pledged or
Cate-	Category of	Share-	of shares	shares held		tage of total		se encumbered
gory	Shareholders	holders		in demated		of shares	Number of	As a
Code				form	— <u> </u>	centage of	Shares	%
			( 11 ( )		(A+B)1	(A+B+C)	() (11)	
(1)	(11)	( III )	( IV )	(V)	( VI )	(VII)	(VIII)	(IX )=(VIII)/(IV)*100
	Public Shareholding3							
_ , _	Institutions		2000	2000	0.00	0.00		
. ,	Mutual Fund/UTI	3	2260	2260	0.00	0.00		}
_ ` /	Financial Institutions/Banks	6	1200	1200	0.00	0.00		}
,	Central/State Government(s)	0	0	0	0.00	0.00		
	Venture Capital Funds							<u> </u>
	Insurance Companies	2	956456	956456	1.38	1.38		<u> </u>
, ,	Foreign Institutional Investors	14	5631307	5629457	8.10	8.10		
	Foreign Venture Cap. Inv							<u> </u>
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
	Sub-Total (B)(1)	25	6591223	6589373	9.48	9.48	N . A.	N . A.
(2)	Non Institutions							
_ \ /	Bodies Corporate	381	1374342	1364388	1.98	1.98		<u>I</u>
(b)	Individuals							
	i) Holding nominal share capital							ĺ
	upto Rs. 1 lakh	12999	6212909	5683431	8.94	8.93		
	ii) Holding nominal share capital							
	in excess of Rs. 1 lakh.	8	1705926	1705926	2.45	2.45		
(c)	Qualified Foreign Investor							
( d)	Any Other(specify)							
` '	Non Resident Individuals	688	6384093	6195189	9.18	9.18		
	Sub-Total (B)(2)	14076	15677270	14948934	22.55	22.54	N . A.	N . A.
	Total Public shareholding							
	( <b>B</b> )=(B)(1)+(B)(2)	14101	22268493	21538307	32.04	32.02		
	TOTAL (A)+(B)	14111	69510887	68780701	100.00	99.95	N . A.	N . A.
( C)	Shares held by Custodians and							
` '	against which Depository						N . A.	N . A.
	Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		·
2	Public	3	34962	34162	0.05	0.05	N . A.	N . A.
	GRAND TOTAL (A)+(B)+( C)	14114		68814863		100.00		0.0

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

0.11	"Promoter and Promoter Group"	Details of s	h h . l l	_	mbered sha	(±) I	Date: 1	14/	D. (). (		T:1:1:1:1:::::::::::::::::::::::::::::	
Sr.No.	Name of the shareholder	No. of April 1						Details of Warrants			Convertible curities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of	As a % of	Number	As a	As a % of	No. of	As a %	No. of	As a %		
		shares held	grand total		Percentage	grand total	warrants	total No. of	Convertible	total No. of		
			(A)+(B)+(C)			(A)+(B)+(C)	held	warrants	securities	convertible		
						of sub-clause		of the same	held	securities		
						( I ) (a)		class		of the same		
										class		
(1)	(    )	(III)	( IV )	(V)	( VI )	(VII)	(VIII)	( IX )	(X)	( XI )	( XII )	
1	NERKA CHEMICALS PVT LTD	46822121	67.33	0	0.00	0.00	0	0	0	0	67.33	
	SHILPA R SHROFF	178511	0.26								0.26	
3	SHILPA SHROFF	150612									0.22	
4	JYOTSNA J BHATT	45500	0.07								0.07	
5	JYOTINDRA MANSHANKAR BHATT	40600									0.06	
6	JYOTSNA JYOTINDRA BHATT	2100	0.00								0.00	
7	VARUN JAIDEV SHROFF	1300									0.00	
	SHAILA SHASHIKUMAR SHROFF	850	0.00								0.00	
9	TANIA JAIDEV SHROFF	500	0.00								0.00	
10	SHAILA SHASHIKUMAR SHROFF	300	0.00								0.00	
	Total	47242394	67.93	0	0.00	0.00	0	0	0	0	67.93	

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

# (I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of	Shares as a	Details of warra	Details of warrants		e Securities	Total shares (including
		shares	percentage of total	No. of warrants	As a %	No of convertible	% w.r.t	underlying shares
		held	number of shares	held	total	securities held	total No. of	assuming full
			(i.e. Grand Total of		No. of		convertible	conversion of warrants
			(A)+(B)+(C)		warrants of		securities of	and conv. Securities)
			indicated in		same		the same	as a % of diluted
			statement at para		class		class	share capital
			( I ) (a) above}					
1	VINOD MOHAN NAIR	5748108	8.27					8.27
2	ARES DIVERSIFIED	3145000	4.52					4.52
3	EOS MULTI STRATEGY FUND LTD	961653	1.38					1.38
4	LIFE INSURANCE CORPORATION OF INDIA	898756	1.29					1.29
5	ACACIA PARTNERS, LP	733254	1.05					1.05
	TOTAL	11486771	16.52					16.52

# (I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s)	Number of	Shares as a	Details of warrants		Details of Convertible Securities			Total shares	
	and the Persons Acting in Concert	shares	percentage of total	No. of warrar	nts	As a %	No of con	vertible	% w.r.t	(including underlying
	( PAC ) with them		number of shares	held		total	securitie	s held	total No. of	shares assuming full
			(i.e. Grand Total of			No. of			convertible	conversion of
			(A)+(B)+(C)			warrants of			securities of	warrants and
			indicated in			same			the same	convertible securities) as
			statement at para			class			class	a % of diluted share
			( I ) (a) above}							capital
1	VINOD MOHAN NAIR	5748108	8.27							8.27
	TOTAL	5748108	8.27							

#### (I) (d) Statement showing details of locked-in shares

,,,,	<u> </u>			
Sr.No.	Name of the shareholder	Category of	Number of	Locked-in shares as a
		shareholders	locked-in	percentage of total
		Promoters / Public	shares	number of shares
				{i.e.Grand Total of
				(A)+(B)+( C) indicated
				in Statement at para
				(I)(a) above}
	NIL			·

### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCF	RIP CODE: 50042	PT : UNIPHOS	Quarter ended: 30.09.2014	
NSE SYN	IBOL : UNIEN			
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1 GDR		17481	34962	0.05

## (II) (b) Statement showing holding of Depository Receipts (DRs) where

underlying shares held by "promoter/promoter group" are in

	excess of 1% of the total number of shares												
<b>BSE SCF</b>	RIP CODE: 50042	9 NAME OF SCRI	PT : UNIPHOS	Quarter ended: 30.09.2014									
NSE SYN	MBOL : UNIEN												
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above									
		NIL											

III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security Class X, Class Y, Class Z)

Category code	Caegory of shareholder		er of Voting in each clas securities		Total Voting Rights	Total Voting Rights (VI)		
						As a %	As a %	
		Class X	Class Y	Class Z	(III+IV+V)		of (A+B+C)	
(1)	(Ⅱ)	( III )	( IV )	(V)	( VI )	(VII)	(VIII)	
(A)	Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family							
(b)	Central/State Government(s)							
	Bodies Corporate							
( d )	Financial Institutions/Banks							
(e)	Any Other (specify)							
, ,	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign	•			1		•	
	Individuals (Non Resident/Foreign)							
	Bodies Corporate							
	Institutins	1						
( - /	Any Other (specify)	1						
( )	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Ptomoter	14.7.	11.7.	III.A.	N.A.	III.A.	II.A.	
	and Ptomoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding	+			<u>l</u>			
(B) (1)	Institutins	4						
` '	Mutual Funds/UTI			I	1			
_ ,		1						
	Financial Institutions/Banks	1						
	Central/State Government(s)	1						
. ,	Venture Capital Funds	1						
	Insurance Companies							
	Foreign Institutional Investors							
	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Instituons					•		
	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding							
	nominal share capital upto							
	Rs. 1 lakh							
	ii.Individual shareholders holding							
	nominal share capital in excess							
	of Rs. 1 lakh.							
(c)	Any Other (specify)							
` ′	Sub-Total (B) (2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	Shares held by Custodians and			•	•	•		
	against which Depository Receipts							
	have been issued		<u>i</u>	1		1.	•	
	GRAND TOTAL ( A )+( B )+( C )	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	