Format of holding of specified securities

Name of Listed Entity: UNIPHOS ENTERPRISES LIMITED

Scrip Code: **500429** Name of Scrip: **UNIPHOS** Class of Security: **Equity** Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1) (c) a.If under 31(1)(b) then indicate the report for quarter ending: 31.12.2015 b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes*	
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Categ	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of shares	Total nos. shares	Shareholding as	Number of V	Voting R	ights held in eac	h class of	No of shares	Shareholding, as a	Numbe	r of Locked in	Numbe	er of shares	Number of
ory		shareholder	up equity	Partly	underlying	held (VII) =	a % of total no.		secur	rities (IX)		Underlying	% assuming full	sha	ares (XII)	pledged	or otherwise	equity shares
(I)		s (III)	shares held (IV)	paid-up	Depository	(IV)+(V)+(VI)	of					Outstanding	conversion of			encumb	ared (XIII)	held in
				equity	Receipts		shares(calculated					convertible	convertible					dematerilized
				shares	(VI)		as per SCRR,	No of	Voting	Rights	Total as a	securities	securities (as a	No. (a)	As a % of	No. (a)	As a % of	form (XIV)
				held (V)			1957) (VIII) As a	Class Equity	Class	Total	% of	(Including	percentage of		total shares		total shares	
							% of (A+B+C2)	1 3	eg: Y		(A+B+C)	Warrants) (X)	diluted share		held (b)		held (b)	
									Ü				capital)					
													(XI)=(VII)+(X) As a % of (A+B+C2)					
													a % 01 (A+D+C2)					
(1)	D	10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0.00	0	0.00			50239885
(A)	Promoter & Promoter Group	10		U	U									U				
(B)	Public	13470	19305964	0	0	19305964	27.76	19305964	0	19305964	27.76	0	0.00	0	0.00			18612297
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	13480	69545849	0	0	69545849	100.00	69545849	0	69545849	100.00	0	0.00	0	0.00			68852182

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculat	Number of		ghts held in each ities (IX)	class of	No of shares Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of		r of Locked in ares (XII)	pledged o	r of shares or otherwise ared (XIII)	Number of equity shares held in dematerilized form (XIV)
					held (V)	(VI)		ed as per SCRR, 1957) As a % of (A+B+C2) (VIII)		f Voting l Class eg: Y	U	Total as a % of Total Voting Rights	securities (Including Warrants) (X)	convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																		
(a)	Individuals/H.U.F																		
i)	Shilpa R Shroff	AALPS9282P	2	329123			329123	0.47	329123	0	329123	0.47	0	0	0	0.00	0	0.00	329123
ii)	Jyotsna J Bhatt	ABWPB6570H	2	47600			47600	0.07	47600	0	47600	0.07	0	0	0	0.00	0	0.00	47600
iii)	Jyotindra Manshankar Bhatt	ABWPB6569J	1	40600			40600	0.06	40600	0	40600	0.06	0	0	0	0.00	0	0.00	40600
iv)	Varun Jaidev Shroff	BJAPS5937A	1	1300			1300	0.00	1300	0	1300	0.00	0	0	0	0.00	0	0.00	1300
v)	Shaila Shashikumar Shroff	AALPS0053L	2	1150			1150	0.00	1150	0	1150	0.00	0	0	0	0.00	0	0.00	1150
vi)	Tania Jaidev Shroff	BJAPS5795L	1	500			500	0.00	500	0	500	0.00	0	0	0	0.00	0	0.00	500
(b)	Cental/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (Specify)																		
	a) Bodies Corporate																		
	Nerka Chemicals Pvt Limited	AAACN9337Q	1	49819612			49819612	71.64	49819612	0	49819612	71.64	0	0	0	0.00	0	0.00	49819612
	Sub- Total (A)(1)		10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0	0	0.00	0	0.00	50239885
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)																			
(e)	Any Other (Specify)																		
	Sub- Total (A)(2)																		
	Total Shareholding of Promoter and Promoter Group $(A)=(A)(1)+(A)(2)$		10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0	0	0	0	0	50239885

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g as a % of total no. of shares(calcul ated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No o	of Voting	ts held in each clas (IX) Rights Total	Total as a % of Total	No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)		ares (XII)	No. (Not applicabl e) (a)	of shares or otherwise ared (XIII) As a % of total shares held (Not applicable)(b)	Number of equity shares held in dematerilized form (XIV)
(1)	Institutions																		
(a)	Mutual Fund/UTI		3	2260	0	0	2260	0.00	2260	0	2260	0.00	0	0.00	0	0.00			2260
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions Banks		6	1200	0	0	1200	0.00	1200	0	1200	0.00	0	0.00	0	0.00			1200
(g)	Insurance Companies		1	57700	0	0	57700	0.08	57700	0	57700	0.08	0	0.00	0	0.00			57700
	Life Insurance Corp of India	AAACL0582H	1	898756	0	0	898756	1.29	898756	0	898756	1.29	0	0.00	0	0.00			898756
(h)	Provident Funds/Pension Funds																		
(I)	Any Other (specify)																		1
i)	Foreign Institutional Investors		7	6750	0	0	6750	0.01	6750	0	6750	0.01	0	0.00	0	0.00			4900
	i) Ares Diversified	AAHCA2144B	1	3171572	0	0	3171572	4.56	3171572	0	3171572	4.56	0	0.00	0	0.00			3171572
	ii) EOS Multi Strategy Fund Ltd	AADCE0686N	1	933938	0	0	933938	1.34	933938	0	933938	1.34	0	0.00	0	0.00			933938
	Foreign Banks		1	1800	0	0	1800	0.00	1800	0	1800	0.00	0	0.00	0	0.00			1800
iii)	Foreign Portfolio-Corp		3	782850	0	0	782850	1.13	782850	0	782850	1.13	0	0.00	0	0.00			782850
	i) Arial Holdings 1	AAKCA6796Q	1	939602	0	0	939602	1.35	939602	0	939602	1.35	0	0.00	0	0.00			939602
	ii) Acacia Partners LP	AALFA7272K	1	733254	0	0	733254	1.05	733254	0	733254	1.05	0	0.00	0	0.00			733254
	Sub- Total (B)(1)		26	7529682	0	0	7529682	10.83	7529682	0	7529682	10.83	0	0.00	0	0.00			7527832
(2)	Central Government/State Government(s)/President of India																	•	
	Sub- Total (B)(2)		0	0			0	0.00											0

(3)	Non- Institutions																
(a)	Individuals - i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.		12444	6969556	0	0	6969556	10.02	6969556	0	6969556	10.02	0	0.00	0	0.00	6468055
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		2	281162	0	0	281162	0.40	281162	0	281162	0.40	0	0.00	0	0.00	281162
	Arun C Ashar	AAQPA2836A	1	985000	0	0	985000	1.42	985000	0	985000	1.42	0	0.00	0	0.00	985000
(b)	NBFCs registered with RBI																
(c)	Employee Trust																
(d)	Overseas Depositories (holding DRs) (balancing figure) (GDR)		3	34962	0	0	34962	0.05	34962	0	34962	0.05	0	0.00	0	0.00	34162
(e)	Any Other (Specify)																
i)	Bodies Corporate		338	1183682	0	0	1183682	1.70	1183682	0	1183682	1.70	0	0.00	0	0.00	1174828
ii)	Individual NRI- Rep Non Rep.		654	540363	0	0	540363	0.78	540363	0	540363	0.78	0	0.00	0	0.00	359701
	Vinod Mohan Nair	AJUPN7221R	1	1763937	0	0	1763937	2.54	1763937	0	1763937	2.54	0	0.00	0	0.00	1763937
iii)	Trusts		1	17620	0	0	17620	0.03	17620	0	17620	0.03	0	0.00	0	0.00	17620
	Sub- Total (B)(3)		13444	11776282	0	0	11776282	16.93	11776282	0	11776282	16.93	0	0.00	0	0.00	11084465
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		13470	19305964	0	0	19305964	27.76	19305964	0	19305964	27.76	0	0.00	0	0.00	18612297

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders	PAN (II)	Nos of	No of fully	Partly	No of shares	Total nos.	Shareholding as	Number	of Voting	Rights	held in each	No of shares	Total Shareholding	Numbe	r of Locked in	Numbe	r of shares	Number of
	(I)		shareholder	paid-up	paid-up	underlying	shares held	a % of total no.				Underlying	, as a % assuming	shares (XII)		pledged or otherwise		equity shares	
			(III)	equity	equity	Depository		of		О			Outstanding	full conversion of			encumb	held in	
				shares held	shares			shares(calculate			_		convertible	convertible					dematerilized
				(IV)	held (V)	(VI)	VI)	d as per SCRR,	No of V	oting Rig		Total as a %	securities	securities (as a	No.	As a % of	No. (Not	As a % of	form (XIV)
								1957) As a % of (A+B+C2)	Class eg:	Class eg:	Total	of Total	(Including Warrants)	percentage of diluted share		total shares held	**	total shares held (Not	
								(VIII)	X	Y		Voting Rights	(X)	capital)		neia	e)	applicable)	
								(111)					(A)	(XI)=(VII)+(X) As				applicable)	
														a % of (A+B+C2)					
														,					
(1)	Custodian/DR Holder																	NA	
(a)	Name of DR Holder (If available)																	NA	
(2)	Employee Benefit Trust (Under SEBI																		
	(Share based Employee Benefit)																		
	Regulations, 2014)																	NA	
	TAIN DAY NOTE																		
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)																		
	Shareholding (C)=(C)(1)+(C)(2)																	NA	

Note:

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

⁽²⁾ The above format needs to disclose name of all holders holding more than 1% of total number of shares

⁽³⁾ W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,