

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September, 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :15/10/2019 NSDL : 30/09/2019 CDSL :30/09/2019



Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
(A)	Promoter & Promoter Group	6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195
(B)	Public	11618	19311692	0	26962	19338654	27.8071	19338654	0	19338654	27.8071	0	27.8071	0	0	0	0	0	18797085
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	11624	69518887	0	26962	69545849	100	69545849	0	69545849	100	0	100	0	0	0	0	0	69004280

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Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI) =	(XII)		(XIII)	(XIV)			
1 Indian																			
(a) Individuals / Hindu Undivided Family		4	378523	0	0	378523	0.5443	378523	0	378523	0.5443	0	0.5443	0	0	0	0	0	378523
Shilpa P Sagar	AALPS9282P	1	329123	0	0	329123	0.4732	329123	0	329123	0.4732	0	0.4732	0	0	0	0	0	329123
Jyotsna J Bhatt	ABWPB6570H	1	47600	0	0	47600	0.0684	47600	0	47600	0.0684	0	0.0684	0	0	0	0	0	47600
Varun Jaidev Shroff	BJAPS5937A	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	0	1300
Tania Jaidev Shroff	BJAPS5795L	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	0	500
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d) Any Other (Specify)		2	49828672	0	0	49828672	71.6487	49828672	0	49828672	71.6487	0	71.6487	0	0	0	0	0	49828672
Bodies Corporate		2	49828672	0	0	49828672	71.6487	49828672	0	49828672	71.6487	0	71.6487	0	0	0	0	0	49828672
Nerka Chemicals Pvt Ltd	AAACN9337Q	1	49819612	0	0	49819612	71.6356	49819612	0	49819612	71.6356	0	71.6356	0	0	0	0	0	49819612
R Shroff Consultants Pvt Ltd	AABCR9751P	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	0	9060
Sub Total (A)(1)		6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195
2 Foreign																			
Individuals (Non-Resident Individuals / Foreign																			
(a) Individuals		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Uniphos Enterprises Limited
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	ing, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI) =	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund		3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0.0032	0	0	NA	NA	2260
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e) Foreign Portfolio Investor		17	9443924	0	0	9443924	13.5794	9443924	0	9443924	13.5794	0	13.5794	0	0	NA	NA	9442074
Ares Diversified	AAHCA2144B	1	3171572	0	0	3171572	4.5604	3171572	0	3171572	4.5604	0	4.5604	0	0	NA	NA	3171572
Resonance Opportunities Fund	AAFRC4798A	1	1370000	0	0	1370000	1.9699	1370000	0	1370000	1.9699	0	1.9699	0	0	NA	NA	1370000
Arial Holdings 1	AAKCA6796Q	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	NA	NA	939602
Eos Multi Strategy Fund Ltd	AADCE0686N	1	933938	0	0	933938	1.3429	933938	0	933938	1.3429	0	1.3429	0	0	NA	NA	933938
Acacia Partners, Lp	AALFA7272K	1	750000	0	0	750000	1.0784	750000	0	750000	1.0784	0	1.0784	0	0	NA	NA	750000
Acacia Institutional Partners, Lp	AALFA7287Q	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	1.0353	0	0	NA	NA	720000
(f) Financial Institutions / Banks		6	2220	0	0	2220	0.0032	2220	0	2220	0.0032	0	0.0032	0	0	NA	NA	2220
(g) Insurance Companies		2	953290	0	0	953290	1.3707	953290	0	953290	1.3707	0	1.3707	0	0	NA	NA	953290
Life Insurance Corporation Of India	AAACL0582H	1	895590	0	0	895590	1.2878	895590	0	895590	1.2878	0	1.2878	0	0	NA	NA	895590
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(i) Any Other (Specify)		1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
Foreign Bank		1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
Sub Total (B)(1)		29	10403494	0	0	10403494	14.9592	10403494	0	10403494	14.9592	0	14.9592	0	0	NA	NA	10401644
Central Government/ State Government(s)/ President of India							0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
3 Non-Institutions																		
(a) Individuals			0	0										0		NA	NA	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		10554	5818467	0	0	5818467	8.3664	5818467	0	5818467	8.3664	0	8.3664	0	0	NA	NA	5433344
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	1620548	0	0	1620548	2.3302	1620548	0	1620548	2.3302	0	2.3302	0	0	NA	NA	1620548
Arun C. Ashar	AAQPA2836A		985000	0	0	985000	1.4163	985000	0	985000	1.4163	0	1.4163	0	0	NA	NA	985000
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
Overseas Depositories(holding DRs)																		
(d) (balancing figure)		3	0	0	26962	26962	0.0388	26962	0	26962	0.0388	0	0.0388	0	0	NA	NA	26162
(e) Any Other (Specify)		1028	1469183	0	0	1469183	2.1125	1469183	0	1469183	2.1125	0	2.1125	0	0	NA	NA	1315387
Trusts		1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	NA	NA	17920
Foreign Nationals		1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62
Hindu Undivided Family		287	415838	0	0	415838	0.5979	415838	0	415838	0.5979	0	0.5979	0	0	NA	NA	415838

Non Resident Indians (Non Repat)	97	47917	0	0	47917	0.0689	47917	0	47917	0.0689	0	0.0689	0	0	NA	NA	45641
Non Resident Indians (Repat)	474	317728	0	0	317728	0.4569	317728	0	317728	0.4569	0	0.4569	0	0	NA	NA	166208
Clearing Member	28	14776	0	0	14776	0.0212	14776	0	14776	0.0212	0	0.0212	0	0	NA	NA	14776
Bodies Corporate	140	654942	0	0	654942	0.9417	654942	0	654942	0.9417	0	0.9417	0	0	NA	NA	654942
Sub Total (B)(3)	11589	8908198	0	26962	8935160	12.8479	8935160	0	8935160	12.8479	0	12.8479	0	0	NA	NA	8395441
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	11618	19311692	0	26962	19338654	27.8071	19338654	0	19338654	27.8071	0	27.8071	0	0	NA	NA	18797085

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Not

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

N

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO					Details of the registered owners					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff	AALPS9283N	548283327	United Kingdom		Nerka Chemicals Pvt Ltd	AAACN9337Q		India		35.82	35.82	35.82	Yes	Yes	11/11/2010
2	Vikram Rajnikant Shroff	AAOPS8597C	534631713	United Kingdom		Nerka Chemicals Pvt Ltd	AAACN9337Q		India		35.82	35.82	35.82	Yes	Yes	11/11/2010
3	Jaidev Rajnikant Shroff	AALPS9283N	548283327	United Kingdom		R Shroff Consultants Pvt Ltd	AABCR9751P		India		0.002	0.002	0.002	Yes	Yes	11/11/2010
4	Vikram Rajnikant Shroff	AAOPS8597C	534631713	United Kingdom		R Shroff Consultants Pvt Ltd	AABCR9751P		India		0.002	0.002	0.002	Yes	Yes	11/11/2010

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