

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: 500429
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31st March, 2020
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :21/04/2020	NSDL : 31/03/2020	CDSL :31/03/2020
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Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	0	0	0	0	0	50207195
(B)	Public	11420	19311692	0	26962	19338654	27.8071	19338654	0	19338654	27.8071	0	0	NA	NA	NA	NA	18810813
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	NA	NA	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	NA	NA	0
	Total	11426	69518887	0	26962	69545849	100.0000	69545849	0	69545849	100.0000	0	0	0	0	0	0	69018008

GENERATED ON :21/04/2020 NSDL : 31/03/2020 CDSL : 31/03/2020

Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(A+B+C2)	(XII)		(XIII)		(XIV)		
1 Indian																		
(a) Individuals / Hindu Undivided Family	4	378523	0	0	378523	0.5443	378523	0	378523	0.5443	0	0.5443	0	0	0	0	0	378523
Shilpa P Sagar	1	329123	0	0	329123	0.4732	329123	0	329123	0.4732	0	0.4732	0	0	0	0	0	329123
Jyotsna J Bhatt	1	47600	0	0	47600	0.0684	47600	0	47600	0.0684	0	0.0684	0	0	0	0	0	47600
Varun Jaidev Shroff	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	0	1300
Tania Jaidev Shroff	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	0	500
(b) Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d) Any Other (Specify)	2	49828672	0	0	49828672	71.6487	49828672	0	49828672	71.6487	0	71.6487	0	0	0	0	0	49828672
Bodies Corporate	2	49828672	0	0	49828672	71.6487	49828672	0	49828672	71.6487	0	71.6487	0	0	0	0	0	49828672
Nerka Chemicals Pvt Ltd	1	49819612	0	0	49819612	71.6356	49819612	0	49819612	71.6356	0	71.6356	0	0	0	0	0	49819612
R Shroff Consultants Pvt Ltd	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	0	9060
Sub Total (A)(1)	6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(b) Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c) Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(e) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	6	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Uniphos Enterprises Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: y									(IX)
(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
1 Institutions																	
(a) Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0	0	0	NA	NA	2260
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
(c) Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
(e) Foreign Portfolio Investor	17	9540215	0	0	9540215	13.7179	9540215	0	9540215	13.7179	0	0	0	0	NA	NA	9538365
Ares Diversified	1	3171572	0	0	3171572	4.5604	3171572	0	3171572	4.5604	0	0	0	0	NA	NA	3171572
Resonance Opportunities Fund	1	1370000	0	0	1370000	1.9699	1370000	0	1370000	1.9699	0	0	0	0	NA	NA	1370000
Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	0	0	0	NA	NA	939602
Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.3429	933938	0	933938	1.3429	0	0	0	0	NA	NA	933938
Acacia Partners, Lp	1	750000	0	0	750000	1.0784	750000	0	750000	1.0784	0	0	0	0	NA	NA	750000
Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	0	0	0	NA	NA	720000
(f) Financial Institutions / Banks	6	2220	0	0	2220	0.0032	2220	0	2220	0.0032	0	0	0	0	NA	NA	2220
(g) Insurance Companies	2	953290	0	0	953290	1.3707	953290	0	953290	1.3707	0	0	0	0	NA	NA	953290
Life Insurance Corporation Of India	1	895590	0	0	895590	1.2878	895590	0	895590	1.2878	0	0	0	0	NA	NA	895590
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
(i) Any Other (Specify)	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0	0	0	NA	NA	1800
Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0	0	0	NA	NA	1800
Sub Total (B)(1)	29	10499785	0	0	10499785	15.0976	10499785	0	10499785	15.0976	0	0	0	0	NA	NA	10497935
Central Government/ State Government(s)/ President of India																	
Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
3 Non-Institutions																	
(a) Individuals		0	0												NA	NA	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10390	5685239	0	0	5685239	8.1748	5685239	0	5685239	8.1748	0	0	0	0	NA	NA	5310964
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	1627133	0	0	1627133	2.3397	1627133	0	1627133	2.3397	0	0	0	0	NA	NA	1627133
Arun C. Ashar		985000	0	0	985000	1.4163	985000	0	985000	1.4163	0	0	0	0	NA	NA	985000
(b) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)	3	0	0	26962	26962	0.0388	26962	0	26962	0.0388	0	0	0	0	NA	NA	26162
(e) Any Other (Specify)	994	1499535	0	0	1499535	2.1562	1499535	0	1499535	2.1562	0	0	0	0	NA	NA	1348619
Trusts	1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0	0	0	NA	NA	17920
Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0	0	0	NA	NA	62
Hindu Undivided Family	289	464082	0	0	464082	0.6673	464082	0	464082	0.6673	0	0	0	0	NA	NA	464082
Non Resident Indians (Non Repat)	95	39221	0	0	39221	0.0564	39221	0	39221	0.0564	0	0	0	0	NA	NA	36945
Non Resident Indians (Repat)	464	306129	0	0	306129	0.4402	306129	0	306129	0.4402	0	0	0	0	NA	NA	157489
Clearing Member	19	59481	0	0	59481	0.0855	59481	0	59481	0.0855	0	0	0	0	NA	NA	59481
Bodies Corporate	125	612640	0	0	612640	0.8809	612640	0	612640	0.8809	0	0	0	0	NA	NA	612640
Sub Total (B)(3)	11391	8811907	0	26962	8838869	12.7094	8838869	0	8838869	12.7094	0	0	0	0	NA	NA	8312878
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	11420	19311692	0	26962	19338654	27.8071	19338654	0	19338654	27.8071	0	0	0	0	NA	NA	18810813

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(V)+(VI)	(A+B+C2)	(IX)			(X)	(A+B+C2)	(XII)		(XIII)		(XIV)		
1 Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		71.6356	71.6356	71.6356	Yes	Yes	11/11/2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	Yes	Yes	11/11/2010
3	Shilpa P Sagar ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Shilpa P Sagar	United Kingdom		0.4732	0.4732	0.4732	Yes	Yes	3/26/1973
4	Jyotsna J Bhatt ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	India		Jyotsna J Bhatt	India		0.0684	0.0684	0.0684	Yes	Yes	10/18/1985
5	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	Yes	Yes	4/1/2004
6	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	Yes	Yes	10/1/2006

GENERATED ON :21/04/2020

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